

**Application Form For Electronic Trading Services (DAS/DAFF)**

**電子交易申請書 (滙業證券/滙業金融期貨)**

**1. Customer Particulars 客戶資料**

Full Name 客戶全名	Account Number 賬戶號碼

**2. Instruction Details 指示詳情**

<b>Electronic Trading Services 電子交易服務</b>		
<input type="checkbox"/> Securities Account 證券賬戶 <input type="checkbox"/> Stock Options Account 股票期權賬戶 <input type="checkbox"/> Futures Account 期貨賬戶		
<input type="checkbox"/> Subscribe 申請	<input type="checkbox"/> Cancel 取消	<input type="checkbox"/> With immediate effect 即時生效 <input type="checkbox"/> Effective 請由_____

If the Customer receive the trading password from the email, please reset your new password immediately.  
 如客戶以電郵方式接收取交易密碼，請盡快重設新密碼。

**Important Notes and Risk Disclosure Statement 重要事項及風險聲明:**

- The Customer is legally responsible for the access to the trading account. All instructions given from any Electronic Device (e.g. mobile phones, desktop computers etc.) for the account are held the Customer accountable, regardless whether such instructions are originated by the Customer or not. 客戶對登入客戶的戶口負有法律責任。從任何電子設備(例如流動電話、桌上電腦等等)為客戶的戶口發出的所有指示均由客戶負責；無論該等指令是否由客戶發出。
- Securities trading via Electronic Devices is subject to various system risks because the breakdown of either software or hardware or any temporary disruption of data transmission may occur from time to time. The failure of any systems may lead to the trade instructions given via Electronic Devices being non-executed or partially executed. 通過電子設備進行的證券交易面臨各種系統風險因為系統軟件或硬件的故障或數據傳輸的任何暫時中斷可能不時發生。任何系統的故障都可能導致通過電子設備發出的交易指示無法執行或部分執行。
- The Customer therefore is advised to deploy effective cybersecurity controls (e.g. password protection) and timely review the confirmation of the executed trades. 建議客戶因此安排有效的網絡安全保安措施(例如保護密碼)並及時查看已執行交易的確認書。
- Delta Asia Securities Limited/Delta Asia Financial Futures Limited shall not be responsible for any losses incurred by the Customers due to any system failure of Electronic Trading Services. 滙業證券有限公司/滙業金融期貨有限公司對客戶因電子交易服務的任何系統故障而遭受的任何損失概不負責。

S.V.

Client Signature 客戶簽署

Date 日期

<b>For Internal Use Only 內部專用</b>			
AE/RM/CS	Approver 批核者	Maker 處理者	Checker 審核者
Name 姓名 _____	Name 姓名 _____	Name 姓名 _____	Name 姓名 _____
Date 日期 _____	Date 日期 _____	Date 日期 _____	Date 日期 _____